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4 **A World without Farmer?**

5 Food Production, Inclusive Development and Ecology:
6 Historical Evidences for a New Deal (1961-2007)

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30

31 **Abstract**

32

33 This paper questions the perspective of a “world without agriculture” which underpins the
34 economic paradigm of “structural transformation” and “modern growth”. It does so by
35 recomposing worldwide land and labour productivity trends in caloric terms from 1961 to
36 2007 and by providing an heuristic model showing that the “Lewis Path” to prosperity is only
37 one out of four possible pathways. It shows that more than half of the world population is
38 rather embarked in a “Lewis Trap” where farmers are increasingly numerous and relatively
39 poorer. It highlights how land scarcity and insufficient job opportunities outside agriculture
40 prevent them to increase their labour productivity and incomes with motorized machineries.
41 The emerging paradigm of “ecological intensification” might contribute to overcome the
42 current deadlocks by redirecting worldwide R&D towards small-scale knowledge-intensive
43 and context-specific agricultures overlapping the manufacture and service sectors.

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47 **Keywords**

48

49 Agriculture, Productivity, Development, Structural transformation, Poverty, Ecology

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52 **1. Introduction**

53

54 The 2007-08 sharp increases in food prices led many agricultural economists to link the lack
55 of interest in agriculture from both the academic and donor communities since the mid-1980s
56 (Janvry, 2010) with the high concentration of poverty and under-nutrition in rural areas of
57 Africa and Asia (Chen and Ravallion, 2007; FAO, 2009). They recommended to increase
58 agricultural research and development (R&D) spending “to restore productivity growth”
59 (Alston *et al.*, 2009) “so that agriculture can play its role as an engine of growth and poverty
60 reduction and act as the longer-term pillar of the twin-track approach to fighting hunger”
61 (FAO, 2009).

62

63 This role of agriculture as an engine of growth is a long standing question since the early
64 stage of industrialization in almost all the traditions of economic thoughts, from the
65 Physiocrats (Quesnay) to the Classical school (Ricardo). After the Second World War, it was
66 a key dimension of the “structural transformation” paradigm (Chenery and Srinivasan, 1988),
67 anchored in both historical experiences of “modern economic growth” (Kuznets, 1966) and
68 dual-economy theories describing the interrelated structural changes between the “traditional”
69 (agriculture) and “modern” (non-agriculture) sectors (Lewis, 1954). In these models,
70 agriculture provides low-cost food, labour and savings to the process of urbanization and
71 industrialization which, in turn, raises labour productivity in the rural economy, pulls up
72 wages and gradually eliminates the worst dimensions of absolute poverty. Both cause and
73 effect of economic growth, this “Lewis Path” should lead to a “world without agriculture”
74 (Timmer, 1988, 2009): labour moves to the “modern sector” which facilitates development,
75 economies grow, and the share of agriculture in total labour and in growth domestic product

76 (GDP) both decline to a level of 2-3% when converge productivity and incomes across sectors
77 (Larson and Mundlak, 1997).

78
79 After the failure of the Maoist experience, this industrialist growth pattern was not really
80 challenged until the Club of Rome in 1972 and the “ecological critique” (MEA, 2005 and
81 others). The later underlines the limited carrying capacity of our planet and how the
82 modernization of agriculture since the 1960’s (Green Revolution and so on) has disturbed
83 many ecological services (nutrient cycling, soil formation, water purification, biodiversity...)
84 because of its overuse of freshwater, fossil energy and other industrial inputs. It calls for
85 actions to enhance the conservation and sustainable use of ecosystems and their contributions
86 to human well-being. But it does not really address the question of how the present billion of
87 very poor farmers concentrated in Asia and Africa can board the train without having to jump
88 abruptly with their family members into urban slums.

89
90 This paper questions the future direction of technical change in the light of past agricultural
91 developments. A novel consolidation of existing data first shows that world food productivity
92 routes were contrasted since the 1960’s, some of them being in sharp contrast with the “Lewis
93 Path”. We then show why a large part of the world can hardly follow this “Lewis Path” to
94 prosperity and may fall permanently in a “Lewis Trap” if the direction of technical change
95 continues to favour a “world without agriculture”. Finally, we sketch a R&D agenda which
96 aims to avoid such rural poverty trap while reconciling some important FAO and MEA’s
97 recommendations.

98
99

100

101 **2. Caloric metric and taxonomy of agricultural patterns**

102

103 After pioneering works such as those of Schultz (1953), Solow (1957) or Farrell (1957) on
104 productivity and its measurement, comparative research on the rate and direction of
105 productivity growth in agriculture has gone through three stages according to Ruttan (2002).

106 (1) The measurement of partial productivity ratios such as output per worker and per hectare
107 helped to identify wide differences among countries or world regions.

108 (2) With cross-country production functions and multifactor productivity estimates, Hayami
109 and Ruttan showed that resource endowments (land and livestock), modern technical inputs
110 (machinery and fertilizer) and human capital (including technical education) each accounted
111 for around one-fourth of the differences in labour productivity between developed and less-
112 developed countries. Since the economies of scale represented only 15 percent of the
113 differences, they concluded that population pressure on land resources could be circumvented
114 and the labour productivity increased by several multiples (up to the levels of Western Europe
115 in the early 1960s) by investing in agricultural research, human capital and modern
116 agricultural inputs.

117 (3) Studies testing the convergence of growth rates and levels of multifactor productivity
118 mostly employ the Malmquist or frontier productivity approach. They generally indicate a
119 widening productivity gap between developed and developing countries from the early 1960s
120 to the early 1990s, and declining total factor productivity in developing countries (relative to
121 the frontier countries) with less technical change against efficiency change.

122

123 In this paper, we come back to the early stage of this research line, building upon new
124 estimates of production for representing land and labour productivities. We aggregate in

125 kilocalories (kcal) all plant food harvested during a year (one crop or more), in almost all
126 countries of the world and during a 47-year period (1961-2007). These new partial
127 productivity estimates enlarge some published by Hayami et Ruttan (1985), Malassis et
128 Padilla (1986) or Bairoch (1999). They cover a time period characterized by unprecedented
129 productivity changes in agriculture.

130

131 Our graphical technique to summarize global trends in land and labour productivities differ
132 from the one adopted by Hayami, Ruttan or Craig et al. (1997), but retain the same five
133 dimensions: acreage of land per agricultural worker (here, x-axis), partial land productivity
134 (y-axis), partial labour productivity (iso-curves), time (1961-2007) and space (countries or
135 regions). Figure 1 shows two orthogonal directions between which labour productivity can be
136 increased in agriculture: higher production per piece of land (with irrigation, fertilizers, etc.)
137 and higher cultivated land per worker (with tractors, combine harvesters, etc.). These
138 directions are subsequently called “intensification” and “motorization”.

139

140 The representation above is based on a simple identity that we label “TALA” for
141 “Technology, Affluence and Labour productivity in Agriculture”, where Q denotes the
142 production of plant food (kcal), A the acreage of cultivated land (ha) and L_a the workforce in
143 agriculture (heads):

144

$$Q/A \bullet A/L_a = Q/L_a \quad (1) \text{ TALA}$$

145

146 In TALA, the increase in labour productivity (Q/L_a , in kcal/worker) is the product of the
147 increase in “intensification” (higher Q/A , in kcal/ha) by the increase in “motorization” (higher
148 A/L_a , in ha/worker).

149

150 If we insert the two left-side components of the TALA equation into a broader OTAWA
151 identity (“Outcome, Technology, Affluence and Workforce in Agriculture”), we show how
152 they co-evolve with the share of agricultural labour into total population (L_a/N) to contribute
153 to the total per capita production (Q/N):

154

$$Q/N = Q/A \cdot A/L_a \cdot L_a/N \quad (2) \text{ OTAWA}$$

155

156 This identity describes the ex-post result of the interaction between parameters but is not a
157 causal relationship treating the right-side parameters as independent variables. Utilized by
158 Malassis and Padilla (1986), it allows for characterizing generic patterns of agricultural
159 production in relation to socioeconomic conditions¹. More specifically, it helps checking
160 whether changes in agricultural per capita production and yields follow an “intensification”
161 pathway all over the world, or whether there are significant differences in the two other terms.
162 These terms are critical for characterizing the “growth engine” at play. They relate the
163 affluence of land per worker and the “motorization” of agriculture to a central dynamic of the
164 “Lewis Path” of “structural transformation”, i.e. the “discharging” (Sauvy, 1980) of labour
165 from agriculture (L_a) to other sectors and the convergence of productivity and incomes across
166 sectors.

167

168 To further explore this dynamic between sectors, it is necessary to come back to the
169 conventional metric of labour productivity, namely the value-added in monetary terms (Y).
170 The data are fully available from 1970 in constant 1990-US\$ for the agricultural and non-
171 agricultural sectors ($Y=Y_a+Y_{na}$). They are used to calculate the two following indicators:

172

¹ OTAWA identity is similar to the “IPAT identity” used in the environmental literature (Ehrlich and Holdren, 1972; Waggoner and Ausubel, 2002), where I (environmental impacts) = N (population size) • A (level of affluence) • T (level of technology), and the “Kaya identity” in the energy literature (from the name of the Japanese engineer Yoichi Kaya).

173 (i) the difference between the share of agriculture in total incomes and the share of farmers in
174 total labour; because C.P. Timmer amply uses this difference, we name it by analogy the
175 “Timer gap” (*TG*):

$$(Y_a/Y) - (L_a/L) \quad (3) \text{ Timer Gap } (TG)$$

176

177 (ii) the ratio of the above two shares that we name the “Timer ratio” (*TR*):

$$(Y_a/Y) / (L_a/L) \quad (4) \text{ Timer Ratio } (TR)$$

178

179 With “modern growth”, *TG* is initially negative (the average income per worker in agriculture
180 is below the national average) and is expected to narrow towards 0 with higher per-capita
181 incomes, while *TR* is initially low (near 0) and increases towards 1 when *TG* gets closer to 0.

182

183 We can make a heuristic use of *TR* equation to characterize the conditions of structural
184 transformation towards a “world without agriculture” (the “Lewis Path”), and what other
185 pathways are followed when these conditions are not met. We do so by crossbreeding two
186 derivatives with respect to time.

187

188 (iii) The derivative of *TR* (Equation 6), with $\theta = Y/L$ and $\theta_a = Y_a/L_a$, shows that *TR* growth rate
189 is positive and converges towards 1 only when the agricultural labour productivity θ_a grows
190 faster than the average labour productivity θ .

191

$$\ln(TR) = \ln(Y_a) - \ln(Y) - \ln(L_a) + \ln(L) = \ln(\theta_a) - \ln(\theta) \quad (5)$$

$$\dot{\ln}(TR) = \dot{\ln}(\theta_a) - \dot{\ln}(\theta) \quad (6)$$

192

193 (iv) The derivative of θ_a (Equation 8) shows that the number of agricultural workers decreases
194 only when the agricultural labour productivity θ_a grows faster than the agricultural product Y_a .

195

$$\ln(\theta_a) = \ln(Y_a/L_a) \Leftrightarrow \ln(L_a) = \ln(Y_a) - \ln(\theta_a) \quad (7)$$

$$\dot{L}_a / L_a = \dot{Y}_a / Y_a - \dot{\theta}_a / \theta_a \quad (8)$$

196

197 These results are interesting not to only confirm intuition. They show out that according to the
198 sign of TR and L_a growth rates, three other pathways than the “Lewis Path” can be identified
199 and characterized according to the relative growths of Y_a , θ_a and θ (Table 1).

200

201 (a) In pathway A, the “Lewis Path” leading to a “world without agriculture”, agricultural
202 workforce decreases (negative L_a growth rate) and farm and nonfarm labour incomes
203 converge (TR tends towards 1) because agricultural labour productivity (θ_a), boosted by
204 motorized equipments in the current technological pattern, grows faster than the demand for
205 agricultural products (Y_a) and the labour productivity in non-agricultural sectors (θ_{na}).

206

207 (b) In pathway B, the number of farmers decreases as in A, but the income gap with other
208 workers increases (negative TR growth rate). This is a “Farmer-Excluding” growth since
209 farmers become fewer and poorer. Like in the Soviet Union during the anti-Koulak campaigns
210 in the 1930s, economic revenues per farmer (θ_a) grow slower than the average, and the
211 agricultural surplus is transferred to other sectors at risk of famines in a predominantly rural
212 economy.

213

214 (c) Pathway C is “Farmer-Inclusive” since their number increases and their incomes converge
215 to the nonfarm ones. One can imagine here an agricultural product (Y_a) that grows rapidly due
216 to growing domestic demand or agricultural exports, which pulls farmers wealth up (θ_a)
217 relative to the rest of the active population whose own income growth is rather low (θ_{na}),
218 possibly nil or even negative (growing urban poverty).

219

220 (d) In pathway D, both agricultural workforce and its income gap with other sectors increase.
221 It is the extreme opposite of the Lewis Path and we name it “Lewis Trap” because unless new
222 lands are available for cultivation, the average acreage per farmer decreases (more and more
223 farmers on the same piece of land) along with the opportunity to boost their individual
224 productivity with motorization. Farmers are more numerous and poorer since they can’t
225 increase their productivity (θ_a) faster than the food demand (Y_a)² and the labour productivity
226 gains in non-agricultural sectors (θ_{na}).

227
228 Let us now examine which of these pathways have been followed in the past and which part
229 of the humanity is actually in a “Lewis Path”.

230
231
232 **3. Empirical evidences: Lewis Path versus Lewis Trap**
233

234 3.1. Productivity estimates with a calorie metric
235
236 Obtaining comparable measures of real agricultural output for a wide range of countries and
237 time periods requires considerable care. Most studies built indexes based on a basket of
238 agricultural products whose production in monetary value is deflated by general price
239 inflation in order to capture real production changes over time (Craig et al., 1997). This
240 technique is a way of getting time series of “real agricultural output” but faces well-known
241 difficulties, such as structural changes over time in the composition of the output basket,
242 absence of detailed data on local prices, PPP versus real exchange rates dilemma.

243

² which include their own demand for food

244 In order to trace in physical terms national overall agricultural productions and partial
245 productivities of land and labour, we aggregated national tonnages of crop outputs through a
246 common metric, the calorie, in a similar way the ton oil equivalent (toe) is used to build
247 energy balances. We did that in three steps.

248

249 (a) Checking and merging of five international statistical series: “Commodity Balances”³,
250 “Land”⁴, “Population”⁵ and “Machinery”⁶ from FAO (2010) over 47 years (1961-2007) and,
251 for our cross-sector study, “Value Added by economic activity”⁷ from UNSTAT (2010) over
252 38 years (1970-2007) – Many islands or micro-states had to be removed because of missing
253 or inconsistent data, and, for the same reason, Afghanistan, Iraq, Oman, Papua New Guinea
254 and Somalia. Our final database, however, covers 98% of the world population (2000) and of
255 the world land area (Antarctica excluded).

256

257 (b) Conversion and aggregation into calories of all harvested edible plant biomass – Our
258 aggregated index of plant food productions in calories writes $Q_r = \sum_i (q_{ir}c_i)$, where r is a
259 country or region, i a plant biomass edible in its primary form (cereal, oilseed, root, fruit, etc.,
260 regardless its final use as food, feed, seed or other)⁸, q its volume of production in metric
261 tonnes, and c its food caloric content (kcal per tonne) according to the FAO (2001) or the
262 USDA (2006)⁹. The regions considered in this study are six or eight: Sub-Saharan Africa
263 (SSA), Middle East and North Africa (MENA), Latin America and the Caribbean (LAC),

³ of which agricultural production in tonnes

⁴ of which “Arable land” (annual crops) and “Permanent crops” (perennial crops); we named “cultivated area” the sum of the two land surfaces

⁵ of which “total population”, “urban population”, “agricultural population”, “total economically active population” and “total economically active population in agriculture”

⁶ of which “agricultural tractors in use”

⁷ of which “Total value added” and “Value added from agriculture, hunting, forestry, fishing” at constant 1990 prices in US dollars (USD)

⁸ 55 product lines of the FAO’s Commodity Balances: Wheat, rice & other grains of cereals; Beans, peas & other pulses; Cassava, potatoes & other roots or tubers; Tomatoes, onions & other vegetables; Apple, oranges & other fruit; Soya bean, cottonseeds, olives & other oilseeds or tree nuts; Sugars & molasses; Cocoa, coffee & tea; Pepper, cloves & other spices

⁹ for details on calculations and general checking of the estimates, see Dorin (2011)

264 developing Asia (ASIA) of South Asia (sASIA) and East Asia (eASIA), Transition Countries
265 (TRAN) and, finally, industrialized countries of 1990-OECD¹⁰ (OECD) in Eurasia (eOECD)
266 and in north America and Oceania (aOECD) (Figure 2).

267

268 (c) Estimation of partial land and labour productivities – Regional productions Q_r were
269 divided by, respectively, corresponding FAO's net areas under annual and permanent crops
270 (A), and FAO's “economically active populations in agriculture” (L_a). Since the FAO uses
271 new ILO¹¹ estimates that starts from 1980 only (5th edition, revision 2008), we inferred 1961-
272 1979 active populations from the updated 1980 values and the 1961-1980 annual growth rates
273 calculated with earlier estimates¹². These labour statistics include male and female workers
274 involved in agriculture, forestry and fisheries, and therefore do not only count people
275 producing plant food. Similarly, cultivated lands include some other agricultural production
276 than edible biomass, such as fibres, rubber, tobacco or fodders. These biases tend to
277 underestimate land and labour productivities, especially in countries producing relatively
278 more non-food biomass or more animal products than the average¹³.

279

280 3.2. A striking heterogeneity of productivity pathways

281

282 Our results can be synthesised in a five-dimension graph which shows the specific path
283 (1961-2007) of each region according to the average land availability per farmer (x-axis) and
284 the ability to increase land (y-axis) and labour (isocurves) productivities.

285

¹⁰ Organisation for Economic Co-operation and Development as in 1990

¹¹ International Labour Organisation, Geneva

¹² “Population-Estimates 2004 rev.” as released by the FAO in 2008. With these previous estimates, our world active population in agriculture reached 1,058,355 thousands people in 1980 (841,922 in 1961 and 1,308,611 in 2000) while it reaches only 948,580 with the latest estimates (760,656 in 1961 and 1,217,540 in 2000).

¹³ It is tricky to include animal products in the calculations (about 10% of the total world production of food calories) since their production rely on (i) domestic plant foods (already taken into account in our calculations) and imported ones (such as oilcakes), (ii) large but very poorly known surfaces of permanent grazing areas (pastures, savannah, shrubs, etc.)

286 Figure 3a shows two striking points:

287 (i) a fantastic growth of labour productivity in industrialized countries due to motorization and
288 concomitant opportunities for many workers to migrate outside the farm sector;
289 (ii) despite such growth, a world average food productivity path that remains rather close to
290 that of Asia, based above all on a yield boost with extremely low labour productivity and a
291 declining availability of land per farmer.

292

293 Figure 3b shows the same results with a log-scale for x-axis and the partition of two regions
294 (OECD and ASIA) into two subsets, while Figure 3c projects all countries without grouping
295 them into regions. These last two figures show two other striking points:

296 (iii) the very special position of USA, Canada and Australia compared with that of other
297 countries;

298 (iv) a critical interval, between 2 and 3 ha per farmer, below which the affluence of land
299 usually decreases and above which it usually raises.

300

301 The following section details these results to show how the global increase in food production
302 is based on contrasting regional dynamics.

303

304 3.3. Contrasting regional development paths

305

306 (a) Global performances

307 In 47 years, the world¹⁴ production of food calories of plant origin increased by 186%, from
308 less than 12 Tera kcal a day in 1961 to over 33 in 2007 (Figure 4a). As the human population
309 has slightly more than doubled during the same period (+116%), the world average daily

¹⁴ In this paper, “world” means the total of our Agribiom countries (Figure 2).

310 availability of plant food per capita was enhanced by 1240 kcal in five decades to reach 5,070
311 in 2007, but with large regional differences (Figure 4b). This growth was achieved through:
312 - 153 millions additional hectares of cultivated area (+11%) (Figure 5a) and a 156% increase
313 in their daily productivity (from 8,620 kcal/ha in 1961 to 22,110 in 2007)¹⁵,
314 - 514 millions additional agricultural workers (+68%) (Figure 6a) and a 70% increase in their
315 daily productivity (from 15,320 to 26,095 kcal/worker).

316 As a combined effect of these evolutions, the world average number of persons nourished by
317 a farmer has increased from 4.0 to 5.2 (+29%) despite a puzzling decrease in the average
318 cultivated area per agricultural worker, from 1.8 to 1.2 ha.

319

320 (b) Highest land productivity in Asia

321 Figure 5b displays the regional plant food productions per cultivated hectare. It shows
322 continuous growths (except for transition countries) but growing discrepancy between
323 regions, from one to two in the early 1960s (5,100 to 10,400 kcal a day) to one to three in
324 2007 (10,300 to 31,400 kcal a day). Since the mid-1980s onwards, land productivity in food
325 calories has become the highest in Asia¹⁶ where investments in infrastructure, education,
326 credit, irrigation, fertilizers, high-yielding varieties and price-regulations helped to boost both
327 crop intensity (number of crops per year on the same plot) and individual crop yields (mainly
328 those of wheat, rice, sugarcane and oil palm). For industrialized countries, many reasons can
329 explain their apparent yield deceleration since the mid-1980s: lower incentives for caloric
330 foodstuffs, increasing prices of fossil energies and other agricultural inputs such as fertilizers
331 and water, soil or biodiversity erosion, environmental regulations, etc. By contrast, land
332 productivity is accelerating in Latin America where sugarcane and oilseeds crops have

¹⁵ This leads us to estimate that 90% of the world plant food production growth was based on an increase in land productivity and not land extension, with of course regional specificities (just about 65% in Sub-Saharan Africa and Latin America for example): see Table 3 ([5]/[3]).

¹⁶ Above 40,000 kcal/ha in 2007 in Malaysia (62,200), China (46,100), Bangladesh (42,500) and Vietnam (41,500), but also in European countries such as Belgium (56,800), Germany (44,600), the Netherlands (41,400) and the United Kingdom (40,100).

333 increased dramatically for food and non-food uses (feed and biofuels), closing the gap with
334 industrialized countries during the 2000s. The land productivity in MENA has been
335 multiplied by three which is the highest growth rate after Asia, whereas it was by two only in
336 Sub-Saharan Africa where the Green Revolution has been less supported than elsewhere.

337

338 (c) A labour productivity boom in industrialized countries

339 In contrast with the land productivity indicator, the production of food calories per worker
340 increased far more quickly in industrialized countries than in non-industrialized ones. In 2007,
341 it reached a daily average of almost 670,000 kcal (1,992,000 in Canada, 1,908,000 in USA,
342 1,118,000 in France, 1,107,000 in Denmark) whereas it remained below 120,000 kcal in all
343 other regions, and even below 14,000 in Asia and Sub-Saharan Africa (Figure 6b). This
344 “agricultural divide” is due to motorized machineries (see the “number of tractors” per
345 agricultural worker in Figure 6c) and higher consumption of fossil fuel (Giampietro et al.,
346 2011). These results go along with huge differences in incomes¹⁷: almost 120 US\$ a day in
347 2007 for an industrialized farmer whereas it is below 2 \$ in Sub-Saharan Africa and Asia
348 (3.9 US\$ on world average). In the latter two regions, the labour productivity is about the
349 same in 2007 despite large differences in land productivities. The average availability in land
350 per worker explains this apparent paradox (it is 2.6 times lower in Asia than in Saharan
351 Africa) even if it decreased in both regions during the period.

352

353 (d) Opposite trends in land per farmer

354 The average net-cultivated areas per agricultural worker (x-axis of Figure 3a) shows a striking
355 divergence between two groups of regions:

¹⁷ Average agricultural value-added per farmer in constant 1990-US\$. This income has to pay for human work but also fixed assets if any (land, draft animals, buildings, equipments...).

356 - a constant rise in industrialized countries, transition countries and Latin America, up to
357 respectively 26.6 ha, 9.9 ha and 4.0 ha in 2007¹⁸;

358 - a decrease everywhere else, down to 2.5 ha in MENA, 1.15 ha in Sub-Saharan Africa and
359 0.45 ha in Asia.

360 By definition, these evolutions combine evolutions of net-cultivated land (Figure 5a) and of
361 active population (Figure 6a). The cultivated land has decreased in transition and
362 industrialized countries (-64 Mha in total over 47 years) but expanded in other regions (+217
363 Mha), especially in Latin America and Sub-Saharan Africa (Table 3) at the expense of two
364 carbon and biodiversity pools, forests or permanent pastures¹⁹. The agricultural active
365 population has also decreased in transition and industrialized countries (-64 Mcap.) and
366 expanded elsewhere (+594 Mcap.) except in Latin America since 2000. It has even doubled or
367 more in Asia (+91%) and Sub-Saharan Africa (+150%). The latter two regions now gather
368 91% of the world farmers (77% in 1961) who represent 60% of the regional workforce (80%
369 in 1961). This share is much lower elsewhere (Figure 6d).

370

371 3.4. Growing divergences: a silent bifurcation

372

373 Some decades ago, Hayami and Ruttan delimited three “growth paths” (Ruttan, 2002: 10-11):
374 (i) in the “land-abundant path” where stand industrialized and transition countries according
375 to our growth estimates (Table 3), output per worker (column [8]) rises more rapidly than
376 output per hectare (column [5]);

¹⁸ This average cultivated area per worker do not account for disparities within a region or a country, which can be large. E.g.: according to USDA, there are 2.2 millions farms in the USA in 2010; their average size is 169 ha, but 56% of them have an average size of 34 ha and cultivate only 11% of the land whereas 10% of them have an average size close to 800 ha and crop nearly half of the cultivated land. Similarly, in many Latin-American coexist a formal sector with few large-scale capital-intensive enterprises adopting labour-saving technologies, and an informal sector with numerous small-scale, labour-intensive enterprises based on low wages.

¹⁹ Between 1961 and 2007, the world area under pasture increased by 278 Mha (+9%), with +135 Mha in ASIA (+32%), +77 Mha in MENA (+32%) and +85 Mha in LAC (+19%).

377 (ii) in the “intermediate growth path” where are Latin America and MENA, output per worker
378 and per hectare grows at a somewhat comparable rates;

379 (iii) in the “land-constrained path” where fall Sub-Saharan Africa and Asia, output per hectare
380 rises faster than output per worker.

381

382 It is indeed the abundance of land that first explains the labour productivity growth in
383 agriculture. In the first group however (“land-abundant path”), high and growing affluence of
384 land per farmer (A/L_a) is not explained by an extension of the cultivated land (A) much faster
385 than elsewhere (columns [1]) but rather by a massive decrease in the number of farmers
386 (column [7]). This emigration began much before our study period but was sustained by the
387 development of heavy motorized equipments in the second half of the twentieth century. Such
388 “labour-saving” and “energy-intensive” path did not occur elsewhere – or with delay and at a
389 much slower pace – which enlarged gaps similar to the ones that Pomeranz (2000) has traced
390 back to 1750.

391

392 Rural exodus and motorization increase the abundance of land per farmer, their labour
393 productivity and the convergence of their incomes toward those of other workers. It is the
394 “structural transformation”. Figure 7a and Figure 7b clearly show the equal importance in this
395 transformation of the GDP growth per capita and of the land growth per farmer. The
396 indicators of a "world without agriculture" (y-axis) follow indeed very similar trends, be they
397 plotted against the national average GDP per capita (x-axis) like in C.P. Timmer (2009: 7) or
398 against the national average acreage per farmer.

399

400 Before 1970, the land affluence per farmer was below 5 ha in industrialized Eurasia, transition
401 countries and Latin America. It was much lower than in North America and Oceania but

402 within or above the critical interval of 2-3 ha mentioned before, and their *TG* value (Equation
403 3) rose well above -10% in 2007 (Figure 7c). The MENA region followed them with the most
404 spectacular change of *TG* value observed between 1970 and 2007, from -43% to -15%, while
405 its land affluence stayed within the critical interval throughout the period. However, MENA
406 also became meantime a massive importer of food thanks partly to its export of oil, whereas
407 OECD and Latin American countries became growing net food exporters (Dorin, 2011: 64).

408

409 On the other hand, all regions having an affluence of land below 2 ha per farmer remained
410 stuck below a *TG* value of -35% in 2007, a value which is also the 2007 average of the whole
411 world due to the demographic importance of these regions (Asia and Sub-Saharan Africa).
412 The Asian one even shows a declining *TG* value, i.e. a growing income gap between
413 agricultural workers and the others. Do these regions – in particular – have a chance to follow
414 the road to prosperity marked out by Lewis in theory, by industrialized countries in practice?
415 In these industrialized countries, the agricultural share in total employment is now below 3%
416 (13% in 1970) while their GDP per capita is at least 7 times higher than elsewhere (less than 6
417 in 1970).

418

419

420 **4. Changing utopia for another?**

421

422 Table 4 sums up above results and characterizes agricultural transformation pathways
423 followed by the main world regions over 1970-2007²⁰. It shows that the Lewis's road of
424 "structural transformation" was followed only by industrialized and transition countries²¹.
425 Latin America and Africa followed a "Farmer-Inclusive" path with an increasing number of
426 farmers and a narrowing gap between farm and non-farm incomes, while Asia (more than half
427 of the world population) is embarked in a "Lewis Trap". The question though is under what
428 conditions Africa and Latin America can avoid falling in this trap and Asia go out from it.

429

430 Long-term scenarios apt to respond this question should integrate various conjectures about
431 the future of the economic globalisation process, the links between ageing and saving
432 behaviours, productivity trends in farm and non-farm sectors, dynamics of markets like
433 energy, land and real estates, etc. They are not currently available.

434

435 In their absence however, it is possible to use the "simple mathematics" of Timmer (2009: 10)
436 to show out the fundamental parameters at play in shifting from a "Lewis Trap" to a "Lewis
437 Path". The labour productivity growth in the non-agricultural sector is one of them. If it
438 remains constant (for example), labour can move from agriculture to non-agriculture as fast as
439 the non-agricultural sector grows. This is the "fast track" of the "Lewis Path". Conversely, if

²⁰ Note that similar growth rates of labour productivity in agriculture are obtained despite the change of metric, from per-worker production of plant calories (Table 3, column 8) to per-worker value-added in 1990-US\$ (Table 4, column 7). One exception is East Asia where high value-added productions such as meat grew faster than the plant food productions. Results of Table 3 over 1970-2007: 3.90% (aOECD, vs. 3.69% in 1990-US\$), 4.77% (eOECD, vs. 4.36%), 2.61% (TRAN, vs. 3.07%), 2.98% (LAC, vs. 2.73%), 2.11% (MENA, vs. 2.40%), 0.51% (SSA, vs. 1.01%), 1.13% (sASIA, vs. 1.25%), 1.55% (eASIA, vs. 3.00%).

²¹ 15 countries in 2007 out of 154 in our sample have less then 3% of their workforce employed in agriculture: Belgium, Canada, Denmark, France, Germany, Israel, Japan, Kuwait, Lebanon, Luxembourg, Slovenia, Sweden, The Netherlands, United kingdom, USA. Except for Kuwait, UK and USA, all of them had a percentage above 6% in 1980. In 2007, a "world without agriculture" (3% share of total employment) would mean 93 millions farmers producing each 358000 kcal/day on 16 ha (39, 302000 and 35 respectively in 1961).

440 the non-agricultural labour productivity grows at the same rate than the sector itself, the latter
441 cannot absorb any new workers and the rural labour is forced to remain in agriculture or to be
442 jobless.

443

444 With Timmer's arithmetic, let us conduct a simple heuristic exercise projecting India in 2050
445 thought a baseline scenario and two variants of non-agricultural labour productivity growth.
446 These scenarios share common assumptions on population growth, land surfaces and GDP
447 growth (Table 5). Population assumptions (total and active) are derived from FAO (2010) and
448 capture the expected "demographic dividend"²². Assumptions on GDP are those of Shukla
449 and Dhar (2011)'s baseline scenario for India (2005-2050) relying on a computable general
450 equilibrium: an average growth of 7.3%²³ per annum (p.a.) with 2.6% from agriculture and
451 7.7% for all other sectors.

452

453 The overall growth gives an average GDP growth per worker of 6.2% p.a. leading to 67 US\$
454 per day in 2050. By sector, it is 3.0% for farm activities and 5.4% for non-farm activities in
455 Shukla and Dhar's baseline scenario²⁴. When the overall growth of labour productivity ($\ln \theta =$
456 6.2%) is higher than the agricultural one ($\ln \theta_a = 3.0\%$) and when the latter is higher than the
457 growth of the agricultural outputs ($\ln Y_a = 2.6\%$), it indicates a "Farmer-Excluding" path
458 according to our typology (Table 1). Farmers are fewer (their share in the workforce fall to
459 30%) but relatively poorer; they earn on average 17 times less than non-agricultural workers
460 ($TR = 0.1$) whereas it was a little more than 6 in 2007 ($TR = 0.29$) (Table 5).

461

²² Rise in the rate of per capita economic growth due to a rising share of working age people in a population.

²³ assumption lying between the 2000-2007 growth rate (5.6%) and very optimistic projections (e.g. 8.5% over 2007-2050 from Hawksworth and Cookson, 2008)

²⁴ The average growth (6.2%) is higher than 5.4% and 3.0% because each worker passing from agriculture to non-agriculture yields an incremental 2.4% productivity growth rate.

462 From this baseline, we test the sensibility of above results to two different assumptions
463 regarding the labour productivity growth rate in non-agricultural activities (scenarios 1 and 2),
464 all things being equal. In scenario 1, the rate passes from 5.4% to 5.8% p.a. Table 5 shows
465 that India then falls in a “Lewis Trap” ($\ln \theta_a < \ln Y_a$). Compared to 2007, farmers are
466 relatively poorer ($TR = 0.1$) but also more numerous (still 40% of the workforce in 2050).
467 Because of higher labour productivity growth in the non-agricultural sector, the labour
468 demand of this sector is lower at constant output and cannot absorb as much agricultural
469 population as in the baseline scenario. 575 million people (farmers with their family
470 members) lives alongside much richer urban dwellers (about 1 billion people) and the average
471 available land falls to 0.58 ha per agricultural worker (0.66 in 2007). Such a disparity would
472 put the growth catch-up in India at risk of being disrupted by severe social and political crises,
473 a typical danger for high-performing Asian economies pinpointed by Hayami and Godo
474 (2004).

475

476 In scenario 2, we calculate the average labour productivity growth of non-farm workers
477 needed to reach “an India without agriculture” in 2050 after a fast Lewis Path. This rate, 4.6%
478 p.a., is much lower than in the baseline (5.4%). A likely unrealistic labour productivity
479 growth rate of 9.3% in agriculture has thus to be assumed to achieve the convergence of
480 incomes ($TR = 1$) to 67 US\$ per worker and 30 US\$ per capita after four decades of
481 unprecedented rural drift.

482

483 The limitation of these numerical experiments is that a higher (lower) labour productivity
484 could lead to a higher (lower) GDP and a higher (lower) absorbing capacity²⁵. But this

²⁵ In the absence of model endogenizing in a credible way labour productivities in India, final and external demand and the competitiveness of Indian production in 2050, one can simply note that a higher GDP is unlikely because our baseline scenario is perhaps already too optimistic. An average annual growth rate of 7.3% over nearly a half-century would already be very exceptional for a large country and would likely confront constraints in the pace of construction of the underlying infrastructures. Over 1970-2007 (37 years), we found only China above such a rate, with 8.5% (rate measured with total

485 limitation is unessential to show out that, in India as elsewhere, the future of agriculture is not
486 determined by the only specific parameters of the sector. Hence, the Lewis Path depends on a
487 “fine tuning” between the growth rate of outputs and the labour productivities in the farm and
488 non-farm sectors. The uncertainty surrounding such a “fine tuning” seems much higher than
489 in the past, even in the long run, for reasons discussed below.

490

491 The Lewis’ structural transformation is likely to span generations but Timmer (2009) first
492 shows that over the past 50 years, the turning point where the divergence turns to convergence
493 has been reached at later and later stages in the economic transformation of successful growth
494 performers, “perhaps suggesting that industry is becoming less and less able to absorb labour”
495 (Binswanger-Mkhize et al., 2010). There are two reasons to think that such a trend will
496 continue in the future. Firstly, although gains in industrial labour productivity through
497 economies of scale and motorization/automation almost saturate in OECD countries, they will
498 develop elsewhere. Secondly, this trend might co-exist with a slower increase of industrial
499 production due to increasing cost of oil and other non-renewable raw materials, strengthening
500 of environment-friendly regulations, market saturation in industrialized countries, slower
501 increase of wages in developed economies not fully compensated by an increase of incomes
502 in developing countries. The overall result might be the co-existence in urban areas of highly
503 skilled and highly paid labour with high labour intensive and low wages services, but this
504 amounts to transfer to cities the social fragmentation problem²⁶.

505

506 The increasing difficulties to follow a Lewis Path are confirmed by the end point of scenario
507 2. More than 80% of the population (1.3 billion people out of 1.6) lives in cities whose
508 density reaches 55,000 inhabitants per km² (Table 5) while in 2010, it was 35,000 in Dhaka

value-added in 1990-US\$ from Unstat, 2010). It was followed by countries only in Asia: Malaysia (7.1%), South Korea (6.5%), Thailand (6.2%), Vietnam (6.1%) and Indonesia (6.0%).

²⁶ The “Farmer-Inclusive” growth of Latin America and Africa?

509 (Bangladesh) and 27,100 in Mumbai (India), the two current densest cities in the world
510 (Demographia, 2011). Such a mega-urbanization is a challenge ever faced in history. In
511 Europe, the Lewis Path was instead facilitated by the emigration of 60 million people to the
512 “New Worlds” (35 million to the USA alone) between 1850 and 1930 (Losch et al., 2011).
513 Such large open spaces for exporting labour surpluses do not exist anymore.

514

515 The end point of scenario 2 also shows that in rural areas, the available land per farmer is
516 bounded to 10 ha. The figure is much lower with a lower mega-urbanization. There is thus no
517 perspective of boosting farm labour productivity through large-scale motorization as in OECD
518 countries where the average land acreage per farmer was 27 ha in 2007. Indian farmers may
519 try to overcome this barrier by increasing the land productivity with more external inputs
520 (fertilizers, pesticides, fuels, seeds, water) but the marginal productivity of these inputs
521 decreases and the negative externalities of their intensive use are already high (natural
522 resource depletion, biodiversity loss, global greenhouse gases, animal and human health
523 problems) (Dorin and Landy, 2009). They may increase the efficiency of their use but their
524 ever-increasing price may wipe off all efforts. They may get better prices for their products on
525 international markets but they can hardly compete with the large-scale and well-organized
526 agro-industries that emerged during the past century. Since they cannot migrate enough to
527 already crowded urban shantytowns, they may actually stay with a business whose natural
528 capital declines (soil, biodiversity, safe water) while their own capabilities are diminished due
529 to poverty (nutrition, health, education). This is the Lewis trap.

530

531 The contradictions to which a Lewis Path leads are not specific to the Indian example but the
532 latter helps to highlight them. Bifurcating towards an alternative pathway requires an overall
533 redirection of R&D where genetically modified organisms (GMO) are far to be the master

534 piece as many believe it. GMO may help to save some inputs like nitrogen, water or pesticide
535 but they increase the cost of seed and will not solve the labour absorption problem we have
536 just demonstrated the critical importance.

537

538 The problem is to increase total agricultural production (Q) and farmers' wealth (θ_a) without
539 downsizing in large proportion their number (L_a) and without jeopardizing natural resources.
540 It can be written as follows, where Y_{na}^a is the cost of non-agricultural inputs (chemical
541 fertilizers, pesticides, irrigation, etc.) and p the price paid to farmers for their production:

$$\theta_a = (pQ - Y_{na}^a) / L_a \quad (9)$$

542

543 Over the past decades, R&D focused on few monocultures whose production Q increased
544 with higher Y_{na}^a and environmental costs (Foley et al., 2005) while their price p decreased,
545 making the equation really profitable (θ_a) only when farmers were fewer (L_a) with larger
546 acreages. Revising and enlarging such a selective R&D requires finding an alternative to
547 input-dependent and ecologically simplified food production systems to increase Q .

548

549 This alternative resembles the agenda of the “agro-ecological perspective” (Altieri, 1999) or
550 “agro-ecological matrix” (Perfecto and Vandermeer, 2010) that can also be called “ecological
551 intensification”:

552 (1) diverse agro-ecological systems exploiting best biological synergies between numerous
553 plant and animal species above and below the ground might not only be much more
554 productive (Q) than conventional modern agriculture but also much more resistant and
555 resilient to natural and economic shocks;

556 (2) inputs Y_{na}^a can be saved which lowers environmental and production costs;

557 (3) price p to producers could be increased if farmers provide more diversified diets and tasty
558 nutritious food to rural and urban households, other goods such as fuels, fibres, drugs and
559 building materials, and are paid for ecosystem services of local and global importance (safe
560 water, carbon and biodiversity pool, soil fertility, nutrients recycling, pollination, diseases and
561 flood control, climate mitigation/adaptation);
562 (4) labour intensity (L_a) could be high because the ecological intensification requires more
563 dedicated human abilities than capital to detect and exploit biological synergies on
564 heterogeneous land quality and variable weather conditions.

565
566 The efficiency and economic viability of such an alternative pathway would be reinforced if
567 land access and competition policies become more effective²⁷. Agriculture is indeed normally
568 subject to diseconomies of scale. The “inverse size-productivity relation” in agriculture is an
569 old issue rose by Amartya Sen (Sen, 1964; Rudra and Sen, 1980) and the “small vs. large
570 farms” microeconomic debate is not old dated (Wiggins et al., 2010) because many empirical
571 data from all over the world continue to show that large farms dependent on hired managers
572 and workers are less productive and less profitable per hectare than small farms operated
573 primarily with family labour. Lower transaction costs of large-scale operations (information,
574 credit, inputs, marketing...) are indeed usually offset by greater incentives of family members
575 to work hard, by special institutional arrangements (such as cooperative or contract farming)
576 and by the premium obtained from closer management and supervision of farm operations
577 (Binswanger-Mkhize et al., 2010).

578

²⁷ A small number of farmers and related upstream and downstream agro-industries with good education and communication usually constitute powerful lobbies that national and international policymakers can hardly resist. These lobbies tend to increase the costly “protection problem” of agriculture in high-income economies (Schultz, 1953; Hayami and Godo, 2004) and a worldwide concentration of firms into few agro-food complexes with oligopolistic positions that limit competition and control both prices and technical innovations.

579 Actually no doubt that the conventional way of modernization agriculture can continue to
580 expand especially in places where marginal productivity of external “modern inputs” (lab-
581 seeds, petrochemical fertilizers, irrigation, pesticides...) is still very high, such in sub-Saharan
582 Africa. But in Africa like in Latin America, population will continue to rise significantly and
583 the absorption capacity of urban areas may not be sufficient to avoid urban chaos or a
584 bifurcation toward a Lewis Trap. It is in this sense that what we just described above might be
585 viewed as a workable and necessary alternative utopia to agro-industrial farming.

586

587

588 **5. Conclusion**

589

590 This paper intends to provide material for questioning the perspective of a “world without
591 agriculture” which is embedded in the “structural transformation” paradigm of “modern
592 growth”. It does so by recomposing worldwide productivity trends in caloric terms from 1961
593 to 2007 and by providing an heuristic model showing that the “Lewis Path” that leads to a
594 “world without agriculture” is only one out of four possible pathways.

595

596 The numerical analyses shows that the Lewis Path is followed by industrialized and transition
597 countries only. Latin America and Africa follows a “Farmer-Inclusive” path with an
598 increasing number of farmers but a narrowing gap between their incomes and those of other
599 workers, while Asia (more than half of the world population) is embarked in a “Lewis Trap”
600 where farmers are increasingly numerous and poorer compared to other workers and most
601 other farmers in the world.

602

603 The shift from a Lewis Trap to a Lewis Path may not be a question of time because we also
604 bring two other evidences: (i) large-scale motorization (currently almost nonexistent in Asia)
605 rather than yield per hectare (very high in Asia) was up to now in the world the main driver
606 for boosting agricultural labour productivity and the convergence of incomes across sectors,
607 (ii) motorization cannot be large-scale in a country like India.

608

609 A Lewis Path in Asia is obviously challenging and cannot be commensurate with the one
610 faced by industrialized countries in the past. In a country like France, the Lewis's structural
611 transformation began long ago, was eased by labour-intensive industry and labour emigration
612 outside Europe until World War II, and was then completed by policies encouraging a
613 "modern agriculture" (Servolin, 1989) with no more "peasants" (Gervais et al., 1965;
614 Mendras, 1967) but heavy-motorized "agriculturalists" until reaching a "world without
615 agriculture" in the early 21st century (3% of the workforce and of the GDP).

616

617 Such an experience cannot be replicated in Asia for at least two reasons:
618 (i) in France, the cultivated acreage per worker could rise from 5 to 30 ha over 1961-2007
619 (from 37 to 63 in USA, 61 to 151 in Canada) whereas in India, it decreases from 1.2 to 0.7 ha
620 and is bounded to 10 ha in a Lewis Path scenario;
621 (ii) today, the most dense French city is Paris with 3,400 inhabitant/km² whereas it already
622 reaches 27,100 in Mumbai (Demographia, 2011) and should be 55,000 for all Indian cities in
623 the Lewis Path scenario for 2050.

624

625 The utopia of a few large-scale farmers and agro-industries feeding the bulk of humankind in
626 huge megacities can hardly be that of Asia. This utopia played an historical role in some parts
627 of the world but it is now time to envisage a more inclusive "structural transformation" based

628 on a mosaic of agro-ecological systems. The agro-ecological matrix seems indeed more likely
629 to provide decent incomes and livelihoods to a multitude through (i) a more efficient
630 manufacture of diverse tasty nutritious food, fibres, energy, drugs, fertilizers, building
631 materials and safe water for both rural and urban dwellers, (ii) a more efficient provision of
632 many ecological and social services that humankind is now looking for at local and global
633 scales.

634

635 It is time to do so not only in Asia but also in Africa and Latin America to avoid unexpected
636 bifurcations towards a Lewis Trap or growing poverty and violence in cities, as well as in
637 industrialized countries which now experience the ecological and social limits of large-scale
638 intensive monocultures (or breeding) in almost empty rural areas.

639

640 This small-scale knowledge-intensive and context-specific agriculture embedded in
641 manufacture and service sectors has to be largely invented. It calls for a new R&D paradigm
642 whose “payoffs will only happen if the effort is sufficiently massive, concerted, and
643 sustained” (Janvry, 2010). The consensus in favour of the effort of which we speak is
644 fortunately enlarging and many try to precise its contents and expected benefits (UNEP,
645 2011). It should involve economists wondering if we can expect a “perfect storm” in the
646 future (Hertel, 2011) since their modelling tools should help to answer two pending big
647 questions: (1) how our societies and their institutions get organized to promote and
648 remunerate properly collective and public goods provided by agriculture? (2) how this new
649 agriculture and rural organization can emerge and coexist with large-size agro-industries that
650 now feed a growing portion of humankind?

651

652

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654

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- 744

Tables

Table 1. Typology of agricultural pathways

	$\dot{T}R/TR$ positive $(\dot{\theta}_a/\theta_a > \dot{\theta}/\theta)$	$\dot{T}R/TR$ negative $(\dot{\theta}_a/\theta_a < \dot{\theta}/\theta)$
\dot{L}_a / L_a negative $(\dot{\theta}_a/\theta_a > \dot{Y}_a/Y_a)$	(A) Lewis Path $\dot{\theta}_a/\theta_a > \dot{Y}_a/Y_a, \dot{\theta}/\theta$	(B) Farmer-Excluding growth $\dot{\theta}/\theta > \dot{\theta}_a/\theta_a > \dot{Y}_a/Y_a$
\dot{L}_a / L_a positive $(\dot{\theta}_a/\theta_a < \dot{Y}_a/Y_a)$	(C) Farmer-Inclusive growth $\dot{Y}_a/Y_a > \dot{\theta}_a/\theta_a > \dot{\theta}/\theta$	(D) Lewis Trap $\dot{\theta}_a/\theta_a < \dot{Y}_a/Y_a, \dot{\theta}/\theta$

Table 2. OTAWA/TALA regional values (2007)

	Land Mha [1]	Population Mcap. [2]	Production Gkcal.day ⁻¹ [3] [1]+[5], [2]+[7]+[8]	Outcome kcal.cap ⁻¹ .day ⁻¹ [4] [3]+[2], [5]+[6]+[7]	Technology kcal.ha ⁻¹ .day ⁻¹ [5]	Affluence ha.worker ⁻¹ [6]	Workforce worker.cap ⁻¹ [7]	Labour kcal.worker ⁻¹ .day ⁻¹ [8] [5]+[6]
aOECD	270	367	6,213	16,920	22,977	70,4	0,01	1,617,434
eOECD	89	578	2,818	4,874	31,586	9,2	0,02	291,241
TRAN	245	403	2,928	7,271	11,966	9,9	0,06	118,696
LAC	170	561	4,355	7,758	25,611	4,0	0,08	103,051
MENA	83	399	1,240	3,111	14,859	2,5	0,08	36,702
SSA	217	789	2,226	2,822	10,257	1,2	0,24	11,882
sASIA	204	1,544	4,399	2,849	21,562	0,6	0,22	13,198
eASIA	226	1,927	9,094	4,721	40,197	0,4	0,33	14,204
World	1,505	6,567	33,273	5,066	22,107	1.2	0.19	26,094

Table 3. OTAWA/TALA average regional annual growth rates (1961-2007)

	Land Mha [1]	Population Mcap. [2]	Production Gkcal.day ⁻¹ [3] [1]+[5], [2]+[7]+[8]	Outcome kcal.cap ⁻¹ .day ⁻¹ [4] [3]-[2], [5]+[6]+[7]	Technology kcal.ha ⁻¹ .day ⁻¹ [5]	Affluence ha.worker ⁻¹ [6]	Workforce worker.cap ⁻¹ [7]	Labour kcal.worker ⁻¹ .day ⁻¹ [8] [5]+[6]
aOECD	0.02%	1.11%	2.98%	1.85%	2.96%	1.18%	-2.22%	4.16%
eOECD	-0.42%	0.56%	1.38%	0.81%	1.79%	3.06%	-3.92%	4.92%
TRAN	-0.37%	0.51%	1.21%	0.69%	1.59%	1.72%	-2.54%	3.31%
LAC	1.11%	2.05%	3.49%	1.42%	2.36%	0.67%	-1.58%	3.04%
MENA	0.29%	2.50%	3.04%	0.52%	2.74%	-0.33%	-1.82%	2.40%
SSA	0.93%	2.72%	2.63%	-0.08%	1.69%	-1.06%	-0.68%	0.61%
sASIA	0.15%	2.14%	2.72%	0.56%	2.56%	-1.33%	-0.63%	1.20%
eASIA	0.56%	1.68%	3.34%	1.64%	2.79%	-0.82%	-0.29%	1.93%
World	0.23%	1.69%	2.36%	0.66%	2.12%	-0.88%	-0.55%	1.22%

Table 4. The structural transformation pathways (1970-2007)

	Population (heads) Total	Workforce		Economic growth		Labour productivity		Timer gap/ratio		Pathway (Table 1)
		Total	Agriculture	Total	Agriculture	Total	Agriculture	TG (Eq.3)	TR (Eq.4)	
aOECD	1.08%	1.62%	-0.89%	2.91%	2.76%	1.27%	3.69%	-7.85%	2.40%	Lewis Path
eOECD	0.47%	0.82%	-3.42%	2.74%	0.79%	1.90%	4.36%	-6.32%	2.42%	Lewis Path
TRAN	0.38%	0.38%	-1.96%	1.91%	1.07%	1.50%	3.07%	4.44%	1.67%	Lewis Path
LAC	1.89%	2.92%	0.30%	3.50%	3.03%	0.56%	2.73%	-4.01%	2.21%	FI growth
MENA	2.44%	3.00%	0.67%	4.10%	3.07%	1.08%	2.40%	-2.79%	1.36%	FI growth
SSA	2.75%	2.80%	2.05%	3.28%	3.09%	0.46%	1.01%	-0.98%	0.55%	FI growth
sASIA	2.13%	2.28%	1.49%	5.17%	2.76%	2.82%	1.25%	0.58%	-1.56%	Lewis Trap
eASIA	1.49%	2.07%	1.35%	7.61%	4.38%	5.44%	3.00%	0.47%	-2.31%	Lewis Trap
World	1.61%	1.95%	1.18%	3.10%	2.25%	1.13%	1.06%	-0.74%	-0.07%	Lewis Trap

Note: percentages are average regional annual growth rates between 1970 and 2007

Table 5. Scenarios of Lewis Trap and Path for India (2007-2050)

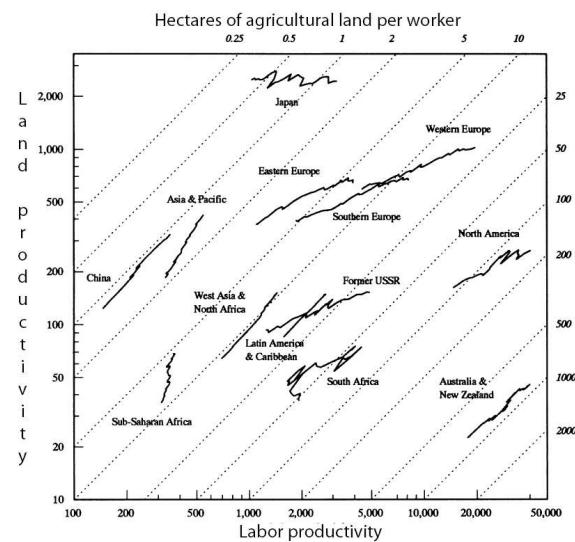
			1980-2007 Observed [1]	2007-2050 Baseline [2]	2007-2050 Lewis Trap [3]	2007-2050 Lewis Path [4]
Population	Total	Mcap	1,165	1,615	1,615	1,615
		annual growth	1.9%	0.8%	0.8%	0.8%
	Workforce	% population	40%	45%	45%	45%
Area	Cropped Cities	Kha	170,000	170,000	170,000	170,000
		Kha	2,428	2,428	2,428	2,428
Growth (VA)	Total	annual growth	6.1%	7.3%	7.3%	7.3%
	- Agriculture	annual growth	3.0%	2.6%	2.6%	2.6%
	- Other	annual growth	7.2%	7.7%	7.7%	7.7%
	Total	USD ₁₉₉₀ /cap.day	2.04	30.51	30.51	30.51
Labour productivity (VA/worker)	Total	annual growth	3.9%	6.2%	6.2%	6.2%
	- Agriculture	annual growth	1.6%	3.0%	2.3%	9.3%
	- Other	annual growth	3.7%	5.4%	5.8%	4.6%
Workforce	Total	annual growth	2.2%	1.1%	1.1%	1.1%
	- Agriculture	annual growth	1.4%	-0.4%	0.3%	-6.2%
	- Other	annual growth	3.4%	2.2%	1.8%	3.0%
	Total	Mcap	463	735	735	735
	- Agriculture	Mcap	259	217	295	17
	- Other	Mcap	204	517	440	718
Overview	Agriculture	% workforce	56%	30%	40%	2%
		% GDP (VA)	16%	2%	2%	2%
		Timer Ratio	0.3	0.1	0.1	1.0
	Cities	ha/farmer	0.66	0.78	0.58	10.11
		Mcap	340	947	795	1,337
		% population	29%	59%	49%	83%
		Kcap/km ²	14	39	33	55

Notes: Values other than annual growth rates are those of the final year. Figures in italics are assumptions:

- (a) population: polynomial function of the year derived from the 2000-2050 annual projections of FAO (2010) ($r^2=0.999$);
- (b) workforce: polynomial function of the population derived from the 1961-2020 annual data of FAO (2010) ($r^2=0.999$);
- (c) cropped area: fixed value of 170 Mha after 2000 (169.3 observed in 2007);
- (d) urban area (cities): fixed value of 2,428 Kha after 2000, obtained by dividing the Indian urban population in 2007 (FAO, 2010) by an average density of 14,000 inhabitants per km² (13,767 circa 2006 for all Indian urban areas according to Demographia (2011), and 14,083 for urban areas over 500,000 inhabitants);
- (e) urban population: urban population of 2007 + new population after 2007 – new agricultural population after 2007;
- (f) agricultural population: agricultural workforce * β where $\beta = ((\text{population} / \text{workforce}) - 0.25)$ as observed circa 2000;
- (g) sectoral annual growth rates (agricultural and non-agricultural added values): scenario assumptions from which is derived the total annual growth rate
- (h) labour productivity growth rate (agriculture or non-agriculture): scenario assumption from which is derived the other sector growth rate (non-agriculture or agriculture) in order to achieve the sectoral annual growth rates.

Figures

Figure 1. International comparison of agricultural land and labour productivities
 (a) A traditional representation
 Source: Craig et al. (1997: 1066)



(b) Our representation framework
 of food productivity pathways

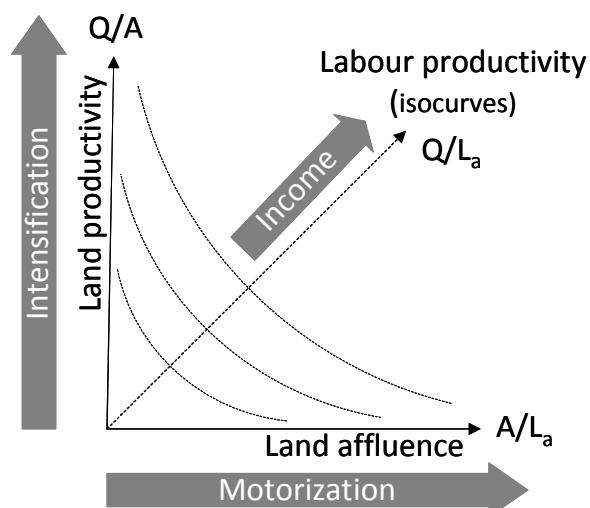


Figure 2. Map of the countries and regions used in this study
 Cartographic source : Articque



Figure 3. World food productivity pathways (1961-2007)

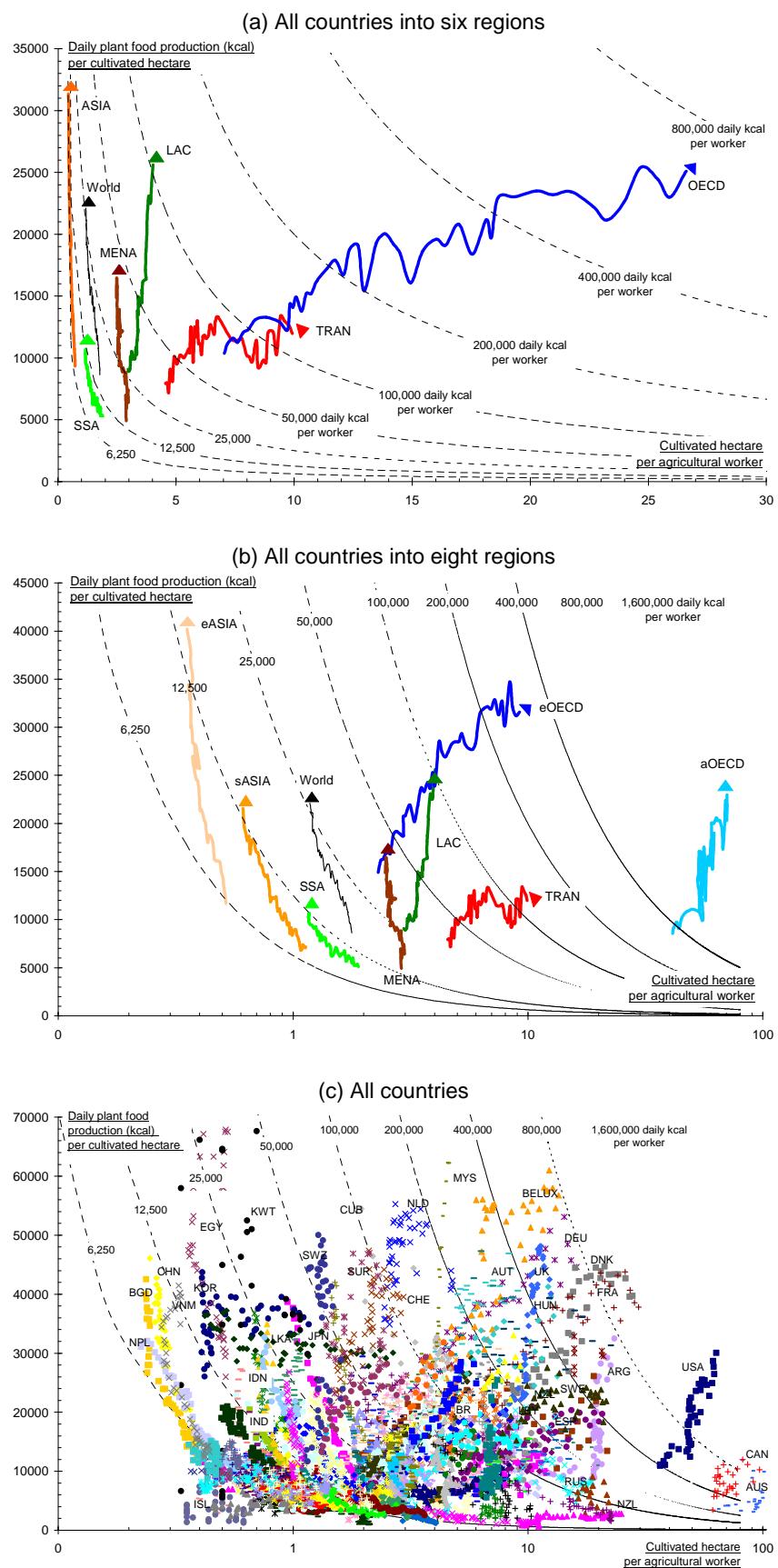


Figure 4. Production of plant food (1961-2007)

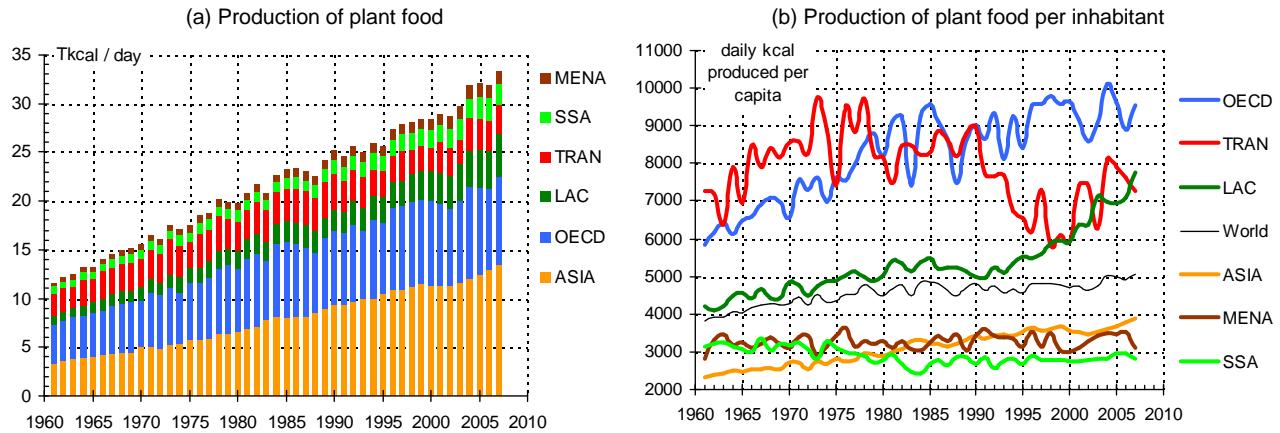


Figure 5. Land productivity (1961-2007)

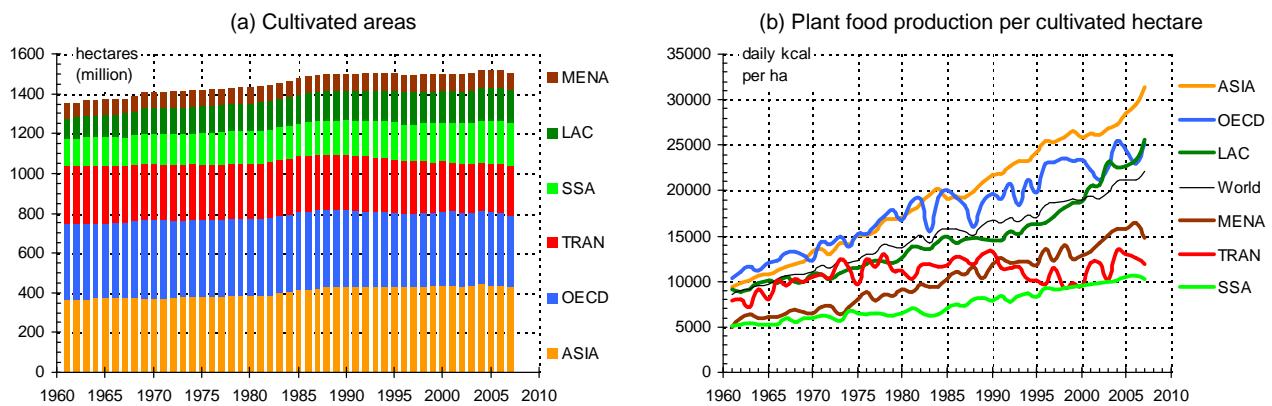


Figure 6. Labour productivity (1961-2007)

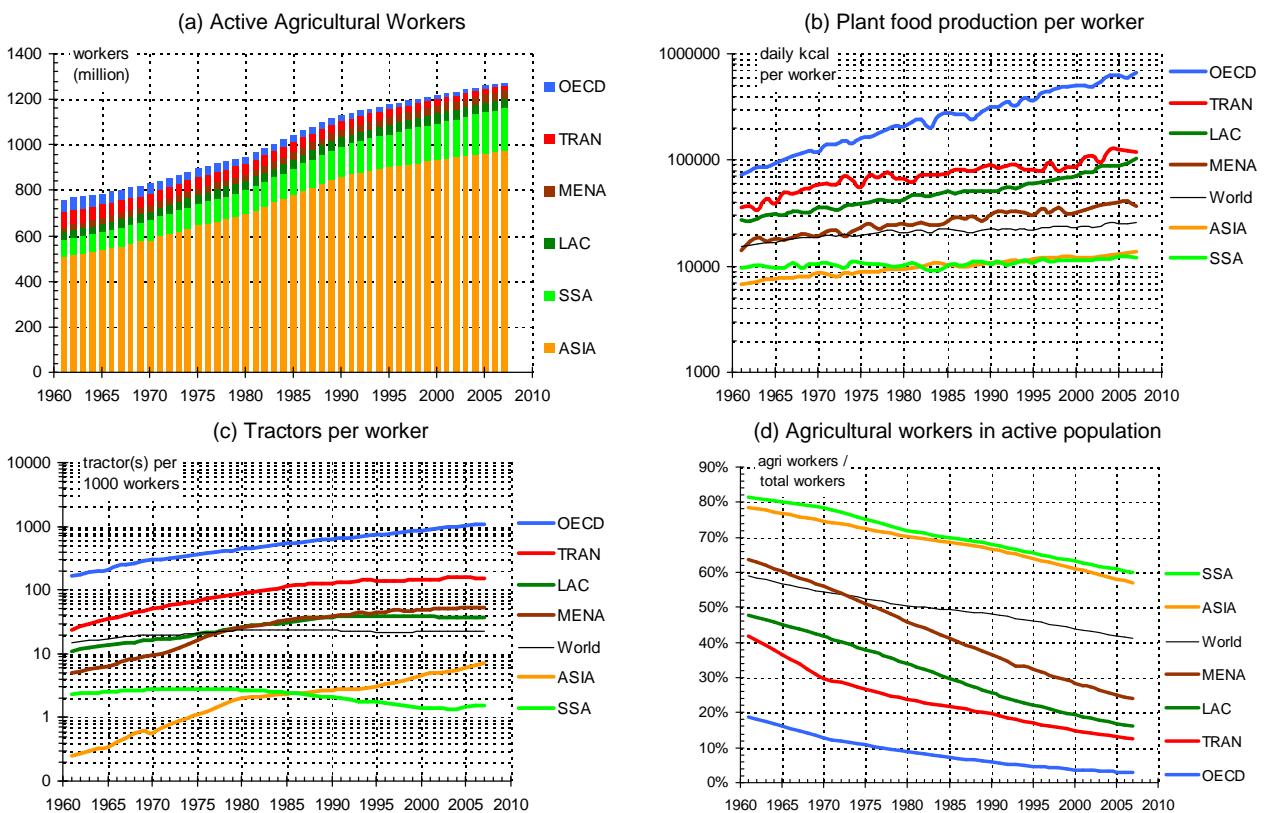
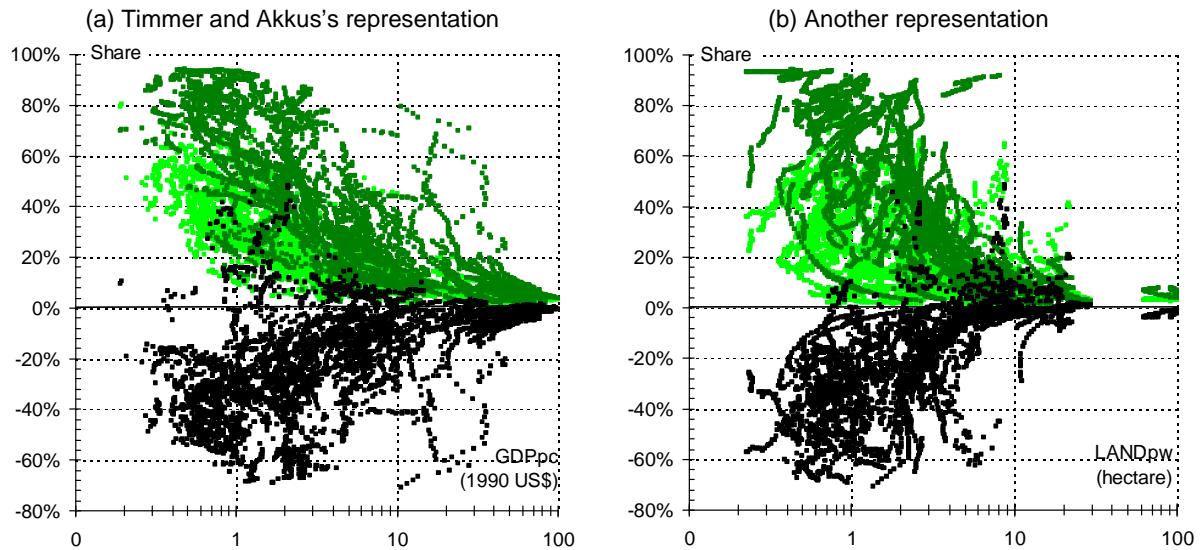


Figure 7. The structural transformation (1970-2007)



Figures show all countries from 1970 to 2007 (5020 points without Kuwait and Iceland) and their respective:

- share of agriculture in total value-added (light green points)
 - share of agriculture in total employment (dark green points)
 - agricultural value-added share minus agricultural employment share (black points): "Timmer gap"
- according to (a) average value-added per capita (GDPpc in 1990 US\$ per day, values between [0.1-100.0]) or (b) average cultivated land per agricultural worker (LANDpw in hectare, values between [0.1-100.0])

(c) Regional pathways (all countries into eight regions)

